

DOCKET NO. MS190438.1

0438.1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 3 1 2002

In re patent application of

Applican Serial No Filed: For: Act Unit: Examine	5.: 10/ Fe SY 218	atthew Charles Priestley, et al. 7083,010	OR LATER USE
U.S. Pat	ent and	INFORMATION DISCLOSURE STATEMENT sissioner for Patents Trademark Office C. 20231	RECEIVED AUG 10 2 2002 Technology Center 21100
the pater	nts, pub d except	to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Clications and other information listed on the attached PTO-1449. A copfor: (a) pending applications or (b) those previously cited or submitted soon which this application relies for an earlier filing date under 35 U.S.	by of each listed document is to the Office in the following
	al No.: g Date:		•
Applica	nt(s) be h Applic	locument, publication or other information for which a date is not given lieve(s) the same may qualify as "prior" art to this application and shant(s) reserve(s) the right to contest the prior art status of any document se.	ould be treated accordingly,
this State	ement a	g each listed document that is not in the English language, an English-lang indicated on the attached PTO-1449 or a concise explanation of the release wing document(s):	
(8	a)	Copy of each English language version of a search report indicating the the foreign office of each document being submitted from the search report indicating the	
(t	b)	Attachment entitled "Concise Explanation of Relevance of Non-English	sh Language Documents".
3. P	ursuant	to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked	l):
(2	a)	Within 3 months of the filing date or date of entry into the National St	age.
(b) <u>X</u>	Before the mailing date of a first Office Action on the merits. If this Stamailing date of a first Office Action on the merits, the required certificate absence thereof, the Office is authorized to charge the required fee set	ation is given below or, in the

(c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance. (1) The required certification is given below, or (2) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement. (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. (1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or 4. Certification (if applicable) (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
 (2) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement. (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. (1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or 4. Certification (if applicable) (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
Statement. (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. (1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or 4. Certification (if applicable) (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. (1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or 4. Certification (if applicable) (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
 4. Certification (if applicable) (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
(a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
(b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted, AMIN & TUROCY, LLP

Himanshu S. Amin Reg. No. 40,894

AMIN & TUROCY, LLP 24TH Floor, National City Center 1900 E. 9th Street Cleveland, Ohio 44114

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

Leslie Kuder

Date: 7.25.2002

Form PTO-1449 (Modified)

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Serial No.: 10/083,010

Applicant: Matthew Charles Priestley, et al.

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

JUL 3 1 5005 (Use several sheets if necessary)

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Filing Date: 2/26/2002

Atty Docket No.:

MS190438.1

Group GEVE

3/15/2000

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PATENT DOCUMENTS

Technology Center 2100 FILING **CLASS SUBCLASS** DATE NAME DOCUMENT NUMBER **EXAMINER** DATE IF INITIAL APPROPRIA 9/9/1994 825.31 Blakley, III, et al. 340 AA 5 6 0 4 4 9 0 2/18/1997 25 3/13/1997 7 9 0 5/26/1998 Misra, et al. 380 AB 5 5 7 2 186 12/11/1995 7 6/9/1998 Kells, et al. 395 AC 5 7 8 8 6 4 9/1/1998 AD 7 Benantar, et al. 395 186 1/3/1996 -6 5 8 0 2 2 2/23/1999 188.01 1/28/1997 AE Shi, et al. 395 5 8 7 5 2 9 6 187.01 7/18/1994 395 ΑF 5 9 9 9 7 1 1 12/7/1999 Misra, et al. AG 4/18/2000 713 201 11/21/1997 Lin, et al. 5 6 0 5 2 7 8 3/2/1999 709 229 AH 3 8 2/19/2002 Seamons, et al. 4 9 3 3 4/23/2002 229 4/15/1996 ΑI 709

FOREIGN PATENT DOCUMENTS

6/4/2002

Ault, et al.

Brezak, Jr., et al.

Examiner Initial		Docı	ıment	Numb	er		Date	Country	Class	Subclass	Transl Yes	ation No
	AK											

OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

AL	Kyung-Ah Chang, et al. "CORBA-based Fair Contracts Support System for Subjects of Electronic Commerce" <u>IEEE International Workshops on Parallel Processing Proceedings</u> , 1999, p. 1-6
АМ	Michael Hitchens, et al. "Design Choices for Symmetric Key Based Inter-Domain Authentication Protocols in Distributed Systems" Proceedings of the 12th Annual Computer Security Applications Conference (ACSA), 1996, p. 105-116
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)

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FOR APPLICANT INFORMATION DISCLOSURE STATEMENT

LIST OF PATENTS AND P

(Use several sheets if necessary)

Filing Date: 2/26/2002

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REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DO	CUM	ENT	NUMI	BER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIA TE
	AA											
	AB											
	AC											
	AD											

FOREIGN PATENT DOCUMENTS

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OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

AF	Peter Sewell, et al. "Secure Composition of Untrusted Code: Wrappers and Causality Types" IEEE, 2000, p. 1-16
AG	John G. Brainard, "SecurSight: An Architecture for Secure Information Access", RSA Laboratories, p.1-9
АН	Neil Ching, et al. "Authorization in the Digital Library: Secure Access to Services Across Enterprise Boundaries" IEEE Proceedings of ADL 1996, p. 110-119

DATE CONSIDERED **EXAMINER**

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